

JC542 U.S. PTO  
12/09/99

09458366-120999

PATENT  
Attorney Docket No. SALK22709

JC542 U.S. PTO  
09/458366  
12/09/99

☐ NEW PATENT APPLICATION  
☒ CONTINUATION-IN-PART

ASSISTANT COMMISSIONER  
FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

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Sir:

Transmitted herewith for filing is the new patent application of

Inventor(s): Ronald M. Evans and Bruce Blumberg

**For: NOVEL STEROID-ACTIVATED NUCLEAR RECEPTORS AND USES  
THEREFOR**

This is a request for filing a continuation-in-part of 09/227,718, filed January 8, 1999, now pending, which is, in turn, a continuation in-part of 09/005,286, filed January 9, 1998, now pending.

Enclosed are:

- ☒ 70 pages of the Specification, which includes 2 pages of the claims.
- ☒ 1 page of the Abstract;
- ☒ 12 sheet(s) of drawing(s) ☐ Formal; ☒ Informal;
- ☒ A Declaration; unexecuted
- ☐ Power of Attorney By Assignee;
- ☐ An Assignment of the invention to \_\_\_\_\_ with Recordation Form Cover Sheet; and
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.

In re Application of:  
 Evans and Blumberg  
 Application No.: Unassigned  
 Filed: December 9, 1999  
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PATENT  
 Attorney Mark No.: SALK2270-2

FULL NAME OF FIRST INVENTOR	LAST NAME: <b>Evans</b>	FIRST NAME: <b>Ronald</b>	MIDDLE NAME: <b>M.</b>
CITIZENSHIP	STATE OR FOREIGN COUNTRY: <b>United States</b>		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: <b>1471 Cottontail Lane</b>	CITY AND STATE: <b>La Jolla, CA</b>	ZIP CODE: <b>92037</b>
FULL NAME OF SECOND INVENTOR	LAST NAME: <b>Blumberg</b>	FIRST NAME: <b>Bruce</b>	MIDDLE NAME: <b>None</b>
CITIZENSHIP	STATE OR FOREIGN COUNTRY: <b>United States</b>		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: <b>3435 Lebon Drive, #1122</b>	CITY AND STATE: <b>San Diego, CA</b>	ZIP CODE: <b>92122</b>

The filing fee has been calculated as shown below:

For	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	12	=	0	X	\$9	\$18	=	\$ 0	\$ 0
Independent Claims	2	=	0	X	\$39	\$78	=	\$ 0	0
Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					\$130	\$26			0
BASIC FEE					\$380	\$760		\$ 0	\$ 0
TOTAL FEE								\$ 0	\$ 0

☒ The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.

☐ The Assistant Commissioner is hereby authorized to charge a Total Fee of \$ .00; \$ .00 of which covers the filing fee and extra claims, \$ .00 of which covers the recordation of \_\_\_\_\_(\_\_\_\_\_) assignment and any other fees associated with this communication or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this Transmittal Sheet is enclosed.

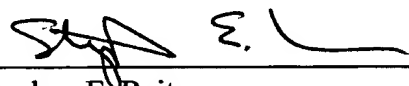
In re Application of:  
Evans and Blumberg  
Application No.: Unassigned  
Filed: December 9, 1999  
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PATENT  
Attorney Pocket No.: SALK2270-2

- Any additional filing fees required under 37 C.F.R. 1.16.  
— Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Date: 12/9/99

  
\_\_\_\_\_  
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